

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
1952093-0016

In Re Application Of SWAIN, Alan L. et al.

Serial No.
10/032,390Filing Date
December 31, 2001

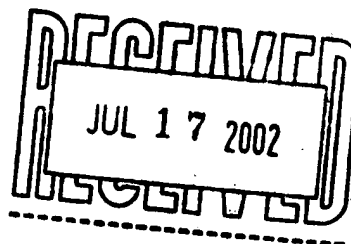
Examiner

Group Art Unit
2184Title: **SYSTEM AND METHOD FOR DETECTING AND HANDLING COMMUNICATION BASED ERRORS
IN A WIRELESS TRANSACTION SYSTEM****RECEIVED**Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231**JUL 03 2002**
Technology Center 2100**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).**RECEIVED**
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**SYSTEM AND METHOD FOR DETECTING AND HANDLING COMMUNICATION BASED
IN A WIRELESS TRANSACTION SYSTEM****RECEIVED****JUL 03 2002**

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
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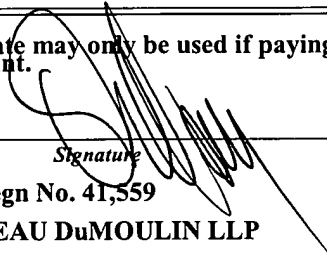
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Dated:

June 28/2002

Signature: 

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
1952093-0016

In Re Application Of: SWAIN, Alan L. et al.

JUL 01 2002

Serial No.
10/032,390

Filing Date
December 31, 2001

Examiner

Group Art Unit
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Invention: **SYSTEM AND METHOD FOR DETECTING AND HANDLING COMMUNICATION BASED
ERRORS IN A WIRELESS TRANSACTION SYSTEM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

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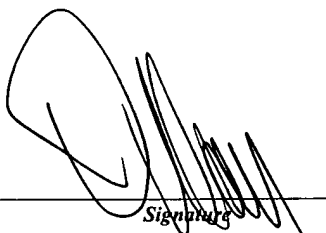
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated:

June 20/2002

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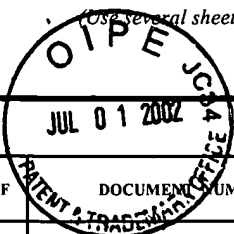
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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

1952093-0016

Application Number

10/032,390

Applicant(s)

SWAIN, Alan L. et al.

Filing Date

December 31, 2001

Group Art Unit

2184

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,018,770	01/25/2000	Little et al.			
		6,011,790	01/04/2000	Fisher			
		5,907,801	05/25/1999	Albert et al.			

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.